

Employee Post-Travel Disclosure of Travel Expenses

Date/Time Stamp:
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Post-Travel Filing Instructions: Complete this form within **30 days** of returning from travel. Submit all forms to the **Office of Public Records in 232 Hart Building.**

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The **original** *Employee Pre-Travel Authorization* (Form RE-1), **AND**
☐ A **copy** of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): Technology Policy Institute

Travel date(s): August 14-16, 2022

Name of accompanying family member (if any): N/A

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING **DID NOT INCREASE** DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
☐ Good Faith Estimate	919.21	783.32	725.47	
☒ Actual Amount				

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
☐ Good Faith Estimate				
☐ Actual Amount				

Provide a description of all meetings and events attended. *See Senate Rule 35.2(c)(6).* (Attach additional pages if necessary.): See attached itinerary. On Aug. 14, I attended all sessions. On Aug. 15 I attended all sessions and chose breakout session 2.

On Aug. 16 I attended all sessions.

09/13/2022
 (Date)

Blake RANDOLPH
 (Printed name of traveler)

Blake M. Randolph
 (Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

09/13/2022
 (Date)

[Signature]
 (Signature of Supervising Senator/Officer)

Date/Time Stamp:

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will **not** be considered or approved. This form **must** be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler: Blake RandolphEmploying Office/Committee: Senator RubioPrivate Sponsor(s) (list all): Technology Policy InstituteTravel date(s): August 14-16, 2022*Note: If you plan to extend the trip for any reason you **must** notify the Committee.*Destination(s): Aspen, Colorado

Explain how this trip is specifically connected to the traveler's official or representational duties:

As legislative counsel to Senator Rubio, I am responsible for overseeing the judiciary and homeland security portfolios, among other areas. This program will examine the role of economics, emerging technology, and challenges facing the tech industry. Attending this trip will develop my tech acumen and will relate to public policy issues currently being debated in the judiciary and homeland security committees.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

07/28/2022
 (Date)


 (Signature of Employee)

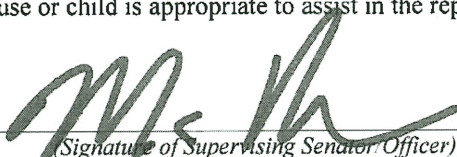
TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, Senator Rubio hereby authorize Blake Randolph
 (Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

07/28/2022
 (Date)


 (Signature of Supervising Senator/Officer)

Private Sponsor Invitation to Blake Randolph, Legislative Counsel, Office of Senator Marco Rubio

From: Sarah Oh <Soh@techpolicyinstitute.org>
Sent: Wednesday, April 13, 2022 7:13 AM
To: Randolph, Blake (Rubio) <Blake_Randolph@rubio.senate.gov>
Cc: Michael Jones <mjones@techpolicyinstitute.org>; Jane Creel <jCreel@techpolicyinstitute.org>
Subject: Invitation to TPI's Aspen Forum 2022

Dear Blake,

I am reaching out on behalf of the Technology Policy Institute to invite you to attend our summer forum this August 14-16, 2022 in Aspen, Colorado.

Each year at the Aspen Forum, we convene experts to discuss topics relevant to telecommunications and technology policy. We would be pleased if you would like to participate in the conference this summer. We have found that the forum is an important opportunity for us to host policymakers and academics along with members of the private sector for policy discussions. Here's some information on our past Aspen Forums, <https://www.tpiaspenforum.tech/>.

I've attached an invitation letter and a draft of the 2022 agenda to this email, and for your reference, the 2021 agenda is available here, <https://www.tpiaspenforum.tech/agenda>.

As a government employee, you may need to obtain ethics clearance to attend the forum. Our team including myself, Jane Creel, and Ashley Benjamin will prepare an ethics packet and answer questions regarding program attendance by government employees. As a 501(c)(3) organization, ethics rules allow us to offer and pay for travel, accommodations, and incidentals associated with your participation, as well as waive registration fees for speakers. Please note this conference is well-attended and open to the public. Virginia CLE credits will also be available for attorneys, pending approval of the final agenda.

Best,
Sarah Oh Lam

Sarah Oh Lam, J.D., Ph.D., Senior Fellow, Technology Policy Institute
601 13th Street, NW, Suite 900 South, Washington, DC 20005
202.828.4405 (office), 202.495.7725 (desk), 202.643.0535 (google voice), soh@techpolicyinstitute.org
TPI Aspen Forum 2022 will be August 14-16, 2022!! Register today at <https://www.tpiaspenforum.tech/>
Pending approval, Virginia CLE credits will be available again at this year's TPI Aspen Forum!
Listen to our podcast at <https://twothinkminimum.com/>. Sign up for TPI's mailing list!

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

-
1. Sponsor(s) of the trip (please list all sponsors): Technology Policy Institute (TPI)
-
2. Description of the trip: Travel to Aspen, CO to participate in the Technology Policy Institute's annual conference. "TPI Aspen Forum 2022: Governments in Control?"
-
3. Dates of travel: August 14-16, 2022
-
4. Place of travel: Aspen, Colorado
-
5. Name and title of Senate invitees: Attachment A
-
6. I *certify* that the trip fits one of the following categories:
- ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
- OR –
- ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
- AND –
- ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
- ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
- AND –
- ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

- ☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

- ☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

– OR –

- ☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

Attachment B

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The Technology Policy Institute is a 501(c)(3) educational think tank that examines the role of economics of emerging & existing technologies. The 13th Annual Aspen Forum brings together policymakers, academics and industry to explore a myriad of issues relating to technology and technology policy.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

The Aspen Forum is in its thirteenth year of bringing, coordinating and executing this policy forum and has complied with all congressional ethics rules with regard to member and staff participation. This is the only event hosted outside Washington DC and is done without regard to congressional participation.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

TPI's mission is to advance knowledge and inform policymakers by producing independent, rigorous research & scholarship also by sponsoring educational programs & conferences on major issues affecting information technology and communications policy.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
☒ Good Faith estimate	\$750 airfare + \$100 rideshare or airport parking \$850 Total	\$700 hotel accommodations for two nights \$700 Total	\$706 conference meals provided + \$100 meals during travel \$806 Total	\$750 registration fee (includes \$706 conference meals. All meals are covered under this expense) \$750 Total
☐ Actual Amounts				

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The event is arranged without regard to Congressional members or staff participation.

18. Reason for selecting the location of the event or trip

This event is hosted at the St Regis Aspen Resort in Aspen, CO. It is easily accessible from both east and west coasts. It is intended to attract speakers and participants from both DC, NY, WA & CA.

19. Name and location of hotel or other lodging facility:

Hotel Durant, 122 East Durant Street, Aspen, Colorado 81611

20. Reason(s) for selecting hotel or other lodging facility:

The hotel is within walking distance to the conference venue. The nightly rate is cheaper than the St Regis Aspen Resort negotiated group rate.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Attachment C

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

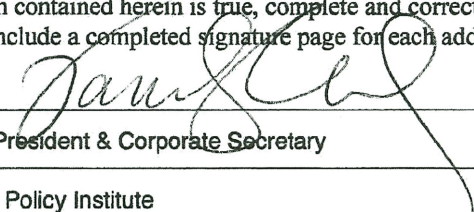
Roundtrip, economy class airfare is provided. Complimentary ground shuttle is provided to/from the hotel and venue to/from the Pitkin Co Airport, Aspen, CO. We will reimburse rideshare/cab to/from airports.

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor: 

Name and Title: Jane Creel, Vice President & Corporate Secretary

Name of Organization: Technology Policy Institute

Address: 2001 L Street, NW Suite 500 Washington, DC 20036

Telephone Number: 202-495-7722 (d) 301-751-7855 (m)

Fax Number:

E-mail Address: jcreel@techpolicyinstitute.org

Attachment A**U.S. Senate Private Sponsor Travel Certification****TPI Aspen Forum 2022 – August 14-16, 2022**

<i>Invitations to TPI Aspen Forum from Dr. Sarah Oh, Senior Fellow, TPI</i>			
First Name	Last Name	Title	Office
Aaron	Stanislowski	Counsel	Senate Judiciary Committee
AJ	Burton	Senior Counsel	Senator Brian Schatz
Arielle	Roth	Legislative Counsel	Senator Roy Blunt
Avery	Gardiner	Counsel	Senate Judiciary Committee
Blake	Randolph	Legislative Counsel	Senator Marco Rubio
Brian	Wemple	Economist	Joint Economic Committee
Christianne	Barnhart	Senior Counsel	Senate Commerce Committee
Christopher	Land	General Counsel	Senator Carol Lummis
Claire	Kim	Counsel	Senate Judiciary Committee
Conrad	Meek	Counsel	Senate Judiciary Committee
Crystal	Tully	Deputy Staff Director	Senate Commerce Committee
Edwin	Rivas	Legislative Assistant	Senator John Hickenlooper
Elisha	Tuku	Chief Counsel	Senate Banking Committee
Elliott	Tomlinson	Counsel	Senate Judiciary Committee
Eric	Einhorn	Chief of Staff	Senator Brian Schatz
Jamie	Susskind	Policy Advisor	Senator Marsha Blackburn
John	Lin	Professional Staff Member	Senate Commerce Committee
John	Cooper	Counsel	Senate Judiciary Committee
John	Beezer	Senior Advisor	Senate Commerce Committee
Katherine	Nikas	Chief Counsel	Senate Judiciary Committee
Kaytlin	Lane	Chief Counsel	Senator Marsha Blackburn
Kelsey	Guyselman	Deputy Policy Director	Senate Commerce Committee
Kyle	Cole	Special Counsel	Senate Judiciary Committee
Landon	Zinda	Counsel	Senate Banking Committee
Mana	Azarmi	Counsel	Senate Judiciary Committee
Mark	Meador	Deputy Chief Counsel	Senate Judiciary Committee
Mark	Uyeda	Securities Counsel/Detailee	Senate Banking Committee
Matthew	Plaster	Broadband Policy Advisor	Senator Shelley Capito
Nicholas	Ganji	Chief Counsel	Senate Judiciary Committee
Olivia	Trusty	Policy Director	Senate Commerce Committee
Rafi	Martina	Senior Policy Adviser	Senator Mark Warner
Rita	Jochum	Chief Counsel	Senate Judiciary Committee
Sam	duPont	Economic Policy Advisor	Senator Chris Coons

ATTACHMENT B**U.S. Senate Private Sponsor Travel Certification****TPI Aspen Forum 2022 – August 14-16, 2022**

The Aspen Forum is wholly planned, organized and executed by the staff and Directors of the Technology Policy Institute. TPI receives general support from a variety of organizations but none is earmarked for specific activities, including the Aspen Forum.

The Technology Policy Institute receives its funding in form of general support & in-kind contributions from the following organizations:

- Amazon
- Apple
- AT&T
- Cellular Telecommunications Industry Association
- Charles Koch Foundation
- Charter Communications
- Comcast Corporation
- DISH Network
- Electric Coin Company
- Facebook
- Google
- Intuit
- The Knight Foundation
- Microsoft
- Motion Picture Association
- NCTA – The Internet Association
- The Needham Group, Inc.
- NetChoice
- T-Mobile
- The Walt Disney Company
- Wilkinson Barker Knauer, LLP
- Verizon Communications

The Technology Policy Institute funds the TPI Aspen Forum with sales of registration fees for the forum as well as general support donations. None of the donors listed above participated in the planning or organization of the policy forum, nor have they earmarked funds for this Congressional trip.

Jane Creel, Vice President & Corporate Secretary
Technology Policy Institute
2001 L Street, NW, Suite 500, Washington, DC 20036
202-828-4405 (o) 202-495-7722 (d) 301-751-7855 (m)
jcreel@techpolicyinstitute.org

ATTACHMENT C**U.S. Senate Private Sponsor Travel Certification****TPI Aspen Forum 2022 – August 14-16, 2022**

Lodging and meals are above the GSA rate.

TPI planned to provide complimentary lodging to all government participants at the St. Regis Aspen Resort, however, the Hotel Durant (or comparable hotel) was selected for Senate staffers because it is less expensive than the St. Regis Aspen Resort and is less expensive than other nearby hotels. Other government speakers and attendees are staying at the venue hotel.

Meals are the same provided to all attendees and is included in the registration fee. We were not able to negotiate a less expensive meal option that complies with the GSA per diem rate. Efforts are made to contain costs with respect to all aspects of this event. Cost breakdown of meals provided:

August 14, 2022	Networking Reception	\$ 30
August 15, 2022	Breakfast	\$ 70
August 15, 2022	Lunch	\$ 90
August 15, 2022	Networking Reception	\$ 30
August 15, 2022	Ice Cream Social	\$ 35
August 15, 2022	Dinner	\$ 150
August 16, 2022	Breakfast	\$ 70
August 16, 2022	Lunch	<u>\$ 90</u>
Meals Provided		\$ 565
Venue 25% Service Charge		<u>\$ 141</u>
Total Meal Expense		\$ 706



TECHNOLOGY
POLICY
INSTITUTE

ASPEN FORUM 2022 AGENDA*
Governments in Control?
St. Regis Aspen Resort, Aspen, Colorado

Sunday, August 14th

12:45 pm – 4:50 pm Depart Dulles International Airport (12:45 pm EDT), United Airlines Flight 2074/5900
Arrive Pitkin County Airport, Aspen, Colorado

6:30 pm – 7:00 pm Welcome Networking Reception

7:00 pm – 7:30 pm Opening Remarks

NTIA's programs and policymaking focus largely on expanding broadband Internet access and adoption in America, expanding the use of spectrum by all users, and ensuring that the Internet remains an engine for continued innovation and economic growth. Assistant Secretary Davidson will discuss how these goals are critical to America's competitiveness in the 21st century global economy and address many of the nation's most pressing needs, such as improving education, health care, and public safety.

The Honorable Alan Davidson, Assistant Secretary of Commerce for Communications and Information and NTIA Administrator, National Telecommunications and Information Administration, US Department of Commerce

Monday, August 15th

7:30 am – 9:00 am Breakfast

8:00 am – 8:10 am Welcome Remarks

Scott Wallsten, Senior Fellow & President, Technology Policy Institute

8:10 am – 9:00 am Keynote Address

Fiona Murray is an international policy expert on the transformation of investments in science and technology into deep-tech start-up ventures that solve significant global challenges and create national advantage – from defense and security to health, food and water security. Her work includes understanding new funding approaches for innovations that arise from scientific research, and educating the next generation of technical leaders to build effective ventures. She has focused on the particular issues faced by ventures who have dual-use (commercial and government) solutions and work in contexts characterized by complex global power competition.

Fiona Murray, William S. Porter Professor of Entrepreneurship and Associate Dean of Innovation and Inclusion, Massachusetts Institute of Technology (MIT)

Monday, August 15th continued

9:00 am – 9:45 am

Discussion – Is “Designed in America, Made in China” Sustainable? Wither the Future of Globalization and Supply Chains?

Supply chain disruptions and shifts in global politics have created changes in the business model of “Designed in America, Made in China” that has underscored American manufacturing for the last several decades. Is that model sustainable or will comparative advantage be outweighed by other concerns, such as national security and the need to have domestic manufacturing capacity? If a new approach is necessary, what should it be? How can we ensure that government actions regarding supply chains help move us towards an efficient, sustainable model rather than one determined solely by who lobbies the hardest?

J. Brad Jensen, McCrane/Shaker Chair in International Business, Center for Business and Public Policy, Georgetown University
Xiameng Lu, Director, Geotechnology Practice, Eurasia Group
Samm Sacks, Cyber Policy Fellow, New America
Scott Wallsten (moderator), Senior Fellow and President, Technology Policy Institute

9:45 am – 10:00 am

Break

10:00 am – 10:45 am

Courtyard Luncheon – State Attorneys General Fireside Chat: How Are the States Affecting Antitrust, Privacy and Other Policies?

Colorado Attorney General Phil Weiser (D) and Nebraska Attorney General Doug Peterson (R) will discuss how their offices are engaging in antitrust, privacy, and other issues such as content moderation, and the role that plays in shaping national and international policy.

Doug Peterson, Attorney General, State of Nebraska
Phil Weiser, Attorney General, State of Colorado
Leah Nylan (moderator), Antitrust Reporter, Bloomberg News

10:45 am – 11:45 am

Discussion – Major Developments in Antitrust in the Agencies and on the Hill

Seldom before has antitrust policy been as prominent as it is now. Concerns about the growth and power of large tech platforms has led to the introduction of far-reaching antitrust legislative proposals, as well as adoption of a more aggressive policy by the enforcement agencies, including a major reexamination of merger policy. Our panel of experts will discuss the implications of these recent developments.

Dennis Carleton, Principal, KDB Firm PLLC
Judy Chevalier, William S. Neinecke Professor of Finance and Economics, Yale University
Wolfgang Kopf, Senior Vice President for GroupPublic and Regulatory Affairs, Deutsche Telekom AG
Hal Varian, Chief Economist, Google
Joshua Wright, Executive Director, Global Antitrust Institute and Professor of Law, Antonin Scalia School of Law, George Mason University
Thomas Lenard (moderator), Senior Fellow and President Emeritus, Technology Policy Institute

11:45 pm – Noon

Break for Lunch

Monday, August 15th continued

Noon – 1:00 pm

Fireside Chat

A prominent member of the media will interview Marc Ganzi on the importance of infrastructure to the digital economy and DigitalBridge's role in providing it. They will talk about supply chain issues and how they are affecting broadband rollout, inflation, and competitiveness. Sullivan will talk with Ganzi about how a company like DigitalBridge that encompasses so much of the digital infrastructure around the globe manages its investments.

Marc C. Ganzi, Chief Executive Officer, DigitalBridge Group Inc.
Maggie Reardon, Senior Reporter, CNET (invited)

1:00 pm – 2:00 pm

Discussion –Are the Metaverse and Web 3.0 Real or Hype and What are the Policy Issues?

Metaverse and Web 3.0 are the trending buzzwords in 2022. Is the decentralization inherent to Web 3.0 a radical change to the way the internet works with important consequences to our online lives, or just a more incremental evolution with few, if any, implications. Similarly, will the metaverse completely change the nature of online interactions, or will it end up as another experiment with VR that lives on the periphery? What is the relationship between Web 3.0 and the metaverse? We may be deep in a hype cycle, but at the same time, companies are investing significant resources in Web 3.0 and metaverse, with routine announcements of new user experiences, innovations in payments, immersive gaming, and more. This panel will discuss these issues as well as consider what policymakers should know about these new technologies.

Hilary Allen, Professor of Law, American University Washington College of Law
Edward Bowles, Global Director of Public Policy, Meta Financial Technologies
Caroline Pham, Commissioner, US Commodity Futures Trading Commission
Sujit Raman, Partner, Sidley Austin LLP
Kevin Werbach, Chairman & Professor, Legal Studies & Business Ethics and Liem Sioe Liong/First Pacific Company Professor, The Wharton School, University of Pennsylvania
Sarah Oh Lam (moderator), Senior Fellow, Technology Policy Institute

2:00 pm – 6:00 pm

Afternoon Break

6:00 pm – 7:00 pm

Networking Reception

7:00 pm – 9:00 pm

Concurrent Breakout Session Dinner (Congressional Members or Staff Who Are Not Presenters, Must Choose One Breakout Session to Attend)

Monday, August 15th continued

Session I – Is a Lack of Coordination Among Government Agencies Harming U.S. Spectrum Policy?

Recent spectrum reallocations have generated public arguments between the agencies charged with managing spectrum, NTIA and the FCC, and other agencies with interests in those spectrum bands, including DOD, FAA, DOT, and NOAA. This working group will discuss the origins of this problem, the extent to which it is a new phenomenon, how it affects spectrum allocation, and how the interagency process could be reformed to avoid last-minute interference disputes, along with spectrum pipeline issues.

Justin Faulb, Chief of Staff and Legal Advisor for Wireline and National Security, Office of Commissioner
Geoffrey Starks, Federal Communications Commission
David Goldman, Senior Director, Satellite Policy, SpaceX
Dale Hatfield, Executive Fellow, Silicon Flatirons Center for Law, Technology, and Entrepreneurship and Adjunct
Professor, University of Colorado at Boulder
Thomas Hazlett, Hugh H. Macaulay Endowed Professor of Economics, Clemson University and Fellow, Hoover
Institution, Stanford University
Ed Hearst, Vice President Government Relations, Astra
Tom Power, Senior Vice President & General Counsel, CTIA
Paroma Sanyal, Senior Consultant, The Brattle Group
Jennifer Warren, Vice President, Civil & Regulatory Affairs, Lockheed Martin
Thomas Lenard (co-moderator), Senior Fellow and President Emeritus, Technology Policy Institute
Sarah Oh Lam (co-moderator), Senior Fellow, Technology Policy Institute

Session II – How Much Do People Value Privacy Around the World and What Does That Mean For Policy?

How much privacy do people want and how much should the government require of digital firms? This panel will review new research on how much people value privacy of different types of data across platforms and countries. It will also discuss the costs and benefits of different types of privacy regulation and whether legislation can achieve the right balance between protecting data, consumer preferences, and a data-driven global economy.

Jane Horvath, Chief Privacy Officer, Apple
Jeff Prince, Professor of Economics and Chair of Business and Public Policy at the Kelley School of Business,
Indiana University
Alan Raul, Partner, Sidley Austin LLP
Leila Rouhi, Vice President, Trust and Privacy, Alexa (Amazon)
Scott Wallsten, Senior Fellow and President, Technology Policy Institute
Shane Tews (moderator), President, Logan Circle Strategies

Session III – What Will a Mature Streaming Market Look Like?

After seemingly boundless growth in streaming, including number of platforms, number of subscribers, and amount of original content, the market may be entering a new phase. It is probably no longer correct to call streaming “nascent,” but is it correct to call it a mature market? Was Netflix’s surprising subscriber loss in Q1 2022 a blip or a harbinger? Does the success of Disney+ and short-lived launch of CNN+ have broader implications for what kinds of business models will be successful? More generally, what would a mature streaming market look like? How would it substitute and complement other video delivery systems? This working group will discuss these issues.

Julia Jenks, Vice President, Worldwide Research, Motion Pictures Association
Eric Lieberman, Chief Counsel, Streaming Services, The Walt Disney Company
Laura Martin (moderator), Senior Media and Internet Analyst, Needham and Company, LLC

Tuesday, August 16th**7:30 am – 9:00 am** **Breakfast****8:00 am – 8:50 am** **Discussion – The Role, Structure and Long-Term Implications of Connectivity in Ukraine**

The conflict in Ukraine has raised questions about modern warfare in a connected world. What are the role, structure, and long-term implications of connectivity in Ukraine? What national security and cybersecurity concerns should policymakers act upon in light of the European conflict? Is the splinternet becoming more of a reality with sanctions and the departure of global commerce from Russia? Are decentralized crypto assets helping, hurting, or neutral for Ukrainians, Russians, and interested individuals watching the conflict between nations?

Ruth Berry, Acting Deputy Assistant Secretary for International Information and Communications Policy, Bureau of Cyberspace and Digital Policy, U.S. Department of State

Olga Boichak, Lecturer in Digital Culture, Department of Media and Communications, The University of Sydney

Cristin Goodwin, Assistant General Counsel for Cybersecurity and Digital Trust, Microsoft

Jamil Jaffer, Assistant Professor of Law and Director of the National Security Law & Policy Program, Antonin Scalia Law School at George Mason University

Neil Potts, Vice President for Trust and Safety, Meta Platforms Inc.

David Gross (moderator), Partner, Wiley Rein, LLP

8:50 am – 9:00 am **Break****9:00 am – 9:30 am** **Fireside Chat: Investing in Entrepreneurs: What Are the Policy Aids and Obstacles?**

Angel investor Benjamin Slivka will join Sarah Oh Lam for a fireside chat about investment, entrepreneurship, and policy from his vantage point in Seattle, Washington. As a key developer of the Internet Explorer browser at Microsoft in the 1980s, Ben will share his perspectives and stories about building software, and the motivations and risks taken by engineers who build new things. Failure, competition, and 80 to 100-hour work weeks are par for the course in entrepreneurship. What should policymakers know or be reminded of about what it takes to build successful businesses?

Ben Slivka, Advisor, Angel Investor, Philanthropist, and Software Engineer

Sarah Oh Lam (moderator), Senior Fellow, Technology Policy Institute

9:30 am – 10:30 am **Discussion – Content Moderation: Can Government Set Objective Rules That Do Not Violate Free Speech and Unfairly Benefit Politicians Who Hold Power?**

What content should platforms keep up, what they should take down, and who should set the rules? Preventing dangerous misinformation from proliferating can be an important goal for an informed population, but efforts by governments to do so conflict with commitments to free speech and beg the question of who gets to decide what is "misinformation." Furthermore, if government is involved, how does civil society ensure that the deciders do not abuse their powers? Governments and private companies are trying to thread this needle. Examples include the European Union's Digital Services Act, Elon Musk's pending purchase of Twitter sparked by content moderation rules, and the failed creation of a Disinformation Governance Board by the U.S. Department of Homeland Security. This panel will discuss and debate these thorny issues.

Tuesday, August 16th continued

Stuart Brotman, Howard Distinguished Endowed Professor of Media Management and Law and Beaman Professor of Journalism and Electronic Media, University of Tennessee, Knoxville

Julie Owono, Executive Director, Internet Sans Frontieres, Inaugural Member, The Oversight Board, and Executive Director Content Policy & Society Lab, Stanford University

Matt Perault, Director, Center on Technology Policy, University of North Carolina at Chapel Hill

Heidi Tworek, Associate Professor and Tier 2 Canada Research Chair, School of Public Policy and Global Affairs, University of British Columbia

Kat Walsh, General Counsel, Creative Commons

Scott Wallsten (moderator), Senior Fellow and President, Technology Policy Institute

10:30 am – 10:45 am Break

10:45 am – 11:30 am Fireside Chat – North American Telecommunications Regulators

Telecommunications regulators from the United States, Mexico, and Canada will discuss the latest developments in policy and rulemaking proceedings. How will the North American regulatory agencies handle common issues like broadband, spectrum pipeline, 5G, supply chain problems, and more. What will they prioritize in the coming year?

Ramiro Camacho Castillo, Commissioner, Federal Institute of Telecommunications, Mexico

Ian Scott, Chairperson and Chief Executive Officer, Canadian Radio-Television and Telecommunications Commission

Geoffrey Starks, Commissioner, Federal Communications Commission

Mignon Clyburn (co-moderator), Principal, MLC Strategies, LLC

Bryan Tramont (co-moderator), Managing Partner, Wilkinson Barker Knauer, LLP

11:30 am – 12:30 pm Discussion – How Can the States Distribute Broadband Subsidies Effectively?

The states will soon receive \$42.5 billion through the NTIA to subsidize broadband buildout. How can the states navigate the wide-ranging objectives laid out in the law and the NTIA's Notice of Funding Opportunities, and how can they distribute subsidies effectively once NTIA has allocated the funds? How will we know whether the program was successful? How should NTIA continue to oversee the process in ways likely to maximize success?

David Don, Senior Vice President, Public Policy, Comcast Corporation

Evan Feinman, Deputy Associate Administrator for Broadband Equity, Access, and Deployment (BEAD), NTIA, US Department of Commerce

Mark Jamison, Director and Gunter Professor, Warrington College of Business, University of Florida

Evelyn Remaley, Partner, Wilkinson, Barker, Knauer, LLP

Brandy Reitter, Executive Director, Broadband Office, State of Colorado

Greg Rosston (moderator), Gordon Cain Senior Fellow, Stanford Institute for Economic Policy Research and Director of the Public Policy Program, Stanford University

Tuesday, August 16th continued

12:30 pm – 12:45 pm Break for Lunch

12:45 pm – 1:45 pm Discussion – A New Era in Broadband Competition?

To date, discussions of broadband competition tend to refer, explicitly or implicitly, to wireline, with perhaps a nod towards wireless competing on some margins. New technologies may be making this view increasingly inaccurate. 5G home internet service is becoming a robust competitor to wireline service in many areas and low-earth-orbit satellites can eliminate latency issues that have prevented satellite broadband from being more than a last resort. Policy has not yet caught up. This panel will discuss the extent to which competition has and has not changed, what that means to investment and what it should mean for subsidies, and how policy should change as a result.

Michelle Connolly, Professor of Economics, Duke University

Patrick Halley, President & CEO, Wireless Infrastructure Association

Christopher Yoo, John H. Chestnut Professor of Law, Communication, and Computer and Information Science, University of Pennsylvania Law School

Scott Wallsten (moderator), Senior Fellow and President, Technology Policy Institute

1:45 pm Closing Remarks & Adjourn

2:00 pm – 2:15 pm Depart St. Regis Aspen Resort via Complimentary Shuttle
Arrive Pitkin County Airport, Aspen, Colorado

3:20 pm – 10:43 pm Depart Aspen, Colorado, United Flight 5505/1581
Arrive Dulles International Airport (10:43pm EDT)

*Program times noted are U.S. Mountain Daylight Saving Time (UTC-06:00) unless otherwise indicated.